



Filing ID #10023967

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: James Patrick Littlefield
Status: Congressional Candidate
State/District: MA03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 09/20/2018
Period Covered: 01/01/2017– 06/7/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
3M Company (MMM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$5,001 - \$15,000
Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
AT&T Inc. (T) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Corporation (BAC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
BB&T Corporation (BBT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
BHP Billiton Limited (BHP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
BHP Billiton plc Sponsored ADR (BBL) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Caterpillar, Inc. (CAT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Chevron Corporation (CVX) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Citigroup, Inc. (C) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Coca-Cola Company (KO) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
Colgate-Palmolive Company (CL) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Comcast Corporation - Class A (CMCSA) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Corning Incorporated (GLW) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
CVS Health Corporation (CVS) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Dermatology Associates of Concord (DAC) ⇒ DERMATOLOGY ASSOCIATES OF CONCORD 401K [OT]		\$50,001 - \$100,000	Dividends, Interest	None	None
DESCRIPTION: EMPLOYER BASED 401K					
Dodge & Cox Intl Stock Fund [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Exxon Mobil Corporation (XOM) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	\$1,001 - \$15,000	None		
Ford Motor Company (F) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
General Electric Company (GE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Goldman Sachs Group, Inc. (GS) [ST]	JT	\$1,001 - \$15,000	Interest	None	None
Intel Corporation (INTC) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
International Business Machines Corporation (IBM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Janus Henderson Global Life Sciences Fund [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Johnson & Johnson (JNJ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
JP Morgan Chase & Co. (JPM) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
Kellogg Company (K) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Manulife Financial Corporation (MFC) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
McCormick & Company, Incorporated (MKC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Medtronic plc. Ordinary Shares (MDT) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
NextEra Energy, Inc. (NEE) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
Norfolk Southern Corporation (NSC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Ormat Technologies, Inc. (ORA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Pepsico, Inc. (PEP) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Procter & Gamble Company (PG) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Schlumberger N.V. (SLB) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Schwab Rollover IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	None	None
Schwab Rollover IRA ⇒ American International Group, Inc. New (AIG) [ST]		\$1 - \$1,000	Capital Gains	\$1 - \$200	\$1 - \$200
Schwab Rollover IRA ⇒ American International Group, Inc. Warrant expiring January 19, 2021 (AIG.W) [ST]		\$1 - \$1,000	None		
Schwab Rollover IRA ⇒ Citigroup, Inc. (C) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Schwab Rollover IRA ⇒ General Mills, Inc. (GIS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
Schwab Rollover IRA ⇒ Henry Schein, Inc. (HSIC) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	None	None
Schwab Rollover IRA ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Schwab Rollover IRA ⇒ SCHWAB VALUE ADVANTAGE MONEY FUND [OT] DESCRIPTION: CASH AND MONEY MARKET FUND		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Schwab Rollover IRA ⇒ United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Schwab Rollover IRA ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	None	None
SCHWAB VALUE ADVANTAGE MONEY FUND [MF]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Southern Company (SO) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Stag Industrial, Inc. (STAG) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
State Street Corporation (STT) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Team, Inc. (TISI) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Thrift Savings Plan ⇒ THRIFT SAVINGS PLAN (TSP) [OT]		\$50,001 - \$100,000	Capital Gains	None	None
DESCRIPTION: The Thrift Savings Plan (TSP) in an Government Based Savings Plan for Federal Employees.					
US TREAS NT 0.875%10/18 UST NOTE DUE 10/15/18 [GS]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
Verizon Communications Inc. (VZ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Walt Disney Company (DIS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Wells Fargo & Company (WFC) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Dermatology Associates of Concord	Spouse	\$35,000.00	\$25,725.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of America	2017	Home Equity Loan to Finance Home Improvements	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- ☐ Dermatology Associates of Concord (DAC)
 DESCRIPTION: Amelia's 401K
- ☐ Schwab Rollover IRA
- ☐ Thrift Savings Plan
 DESCRIPTION: Savings from Patrick's time at Veterans Affairs

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: James Patrick Littlefield , 09/20/2018